

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 97-054-C2
In Re Application Of: WALKER et al.			
Serial No. Not Yet Assigned	Filing Date July 22, 2003	Examiner Not Yet Assigned	Group Art Unit Not Yet Assigned
Title: METHOD AND SYSTEM FOR PROCESSING SUPPLEMENTARY PRODUCT SALES AT A POINT-OF-SALE TERMINAL			
<div style="text-align: center;"> <p>Address to:</p> <p>Commissioner for Patents</p> <p>P.O. Box 1450</p> <p>Alexandria, VA 22313-1450</p> </div> <div style="text-align: center; margin-top: 10px;"> <p>37 CFR 1.97(b)</p> </div> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <div style="text-align: center; margin-top: 20px;"> <p>37 CFR 1.97(c)</p> </div> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <div style="margin-left: 40px; margin-top: 10px;"> <p><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center; margin-top: 10px;">OR</p> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p> </div>			

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<div style="text-align: center;"> Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) </div> <div style="margin-top: 10px;"> <input type="checkbox"/> A check in the amount of _____ is attached. <input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. 50-0271 as described below. <div style="margin-left: 20px;"> <input type="checkbox"/> Charge the amount of _____ <input checked="" type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional fee required. </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <div style="width: 45%;"> <p>Certificate of Transmission by Facsimile*</p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F: _____) </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> _____ (Date) </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> _____ Signature </div> <div style="border: 1px solid black; padding: 5px;"> _____ Typed or Printed Name of Person Signing Certificate </div> </div> <div style="width: 45%;"> <p>Certificate of Mailing by First Class Mail</p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> I certify that this document and fee is being deposited on July 22, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> Signature of Person Mailing Correspondence </div> <div style="border: 1px solid black; padding: 5px;"> Michael D. Brinton Typed or Printed Name of Person Mailing Certificate </div> </div> </div> <div style="margin-top: 10px;"> <p>*This certificate may only be used if paying by deposit account.</p> <div style="display: flex; align-items: center;"> <div style="border-top: 1px solid black; width: 100%; text-align: center;"> Signature </div> </div> </div>			
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p>Dean P. Alderucci Attorney for Applicants PTO Registration No. 40,484 (203) 461-7337/phone (203) 461-7300/fax</p> <p>Customer No. 22927 Alderucci@walkerdigital.com</p> <p>cc:</p> </div> <div style="width: 45%; text-align: right;"> <p>Dated: July 22, 2003</p> </div> </div>			

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	Translation	
							Yes	No
/C.A./	A	JP57086974	05/31/82	Japan				
	B	EP 0 085 546	08/10/83	Europe				
	C	EP 0 109 189 A1	10/13/83	Europe				
	D	JP2171891	07/03/90	Japan				
	E	JP2197998	08/06/90	Japan				
	F	JP2278399	11/14/90	Japan				
	G	JP4095198	03/27/92	Japan				
	I	JP4260914	09/16/92	Japan				
	I	JP 5252363 A	09/21/93	Japan (Abstract)				
	I	JP8147545	06/07/96	Japan				
✓	I	WO 96/34358	10/31/96	PCT (Abstract)				
/C.A./	I	WO 96/36926	11/21/96	PCT				

OTHER DOCUMENTS (Including author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	/Charles Agwumezie/	DATE CONSIDERED:	09/29/2008
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/C.A./	M	JP8329350	12/13/96	Japan				
/C.A./	N	WO 97/28510	08/07/97	PCT				
/C.A./	O	WO 97/35441	09/25/97	PCT				
/C.A./	P	JP10031792	02/03/98	Japan				
/C.A./	Q	JP 11-505343	05/18/99	Japan				

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/C.A./	R	Brochure, "Introducing the Digital MenuBoard", Siren Technologies, Inc., (www.sirentech.com).
	S	Rehayem, Gilbert, "Opinion: X-Press Betting", Information Systems & Technology, Ontario Lottery Corp.
	T	Brochure: "Reaching Out In New Directions", First Data Corporation, Merchant Services, undated.
	U	"Cape Town", Reuters Ltd, November 8, 1979, PM Cycle.
↓	V	"Save the mark", Financial Times (London), February 1, 1983, Section I, Men & Matters at Pg. 12.
/C.A./	W	Cook, Louise, "ConsumerWatch: Clip, Snip, Save", The Associated Press, March 12, 1984, Business News Section.

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/C.A./	X	"Major Appliances: Tappan unveils microwave oven feature preprogrammed controls.", HFD, September 10, 1984, pg. 103.	
	Y	"POS spectrum: a lottery looks to POS for growth", UMI, Inc., POS News, January 1989, Vol. 5, No. 7, Pg. 8, ISSN: 0896-6230, CODEN: BHORAD.	
	Z	Kuttner, Robert, "Computers May Turn The World Into One Big Commodities Pit", Business Week, September 11, 1989, Section: Economic Viewpoint, No. 3123 at Pg.	
	AA	Schrage, Michael, "An Experiment In Economic Theory; Labs Testing Real Markets", The Record, November 26, 1989, Section: Business at Pg. B01.	
	BB	"Let's Play the Cash Register Receipts Lottery", The New York Times, December 25, 1990, Section 1, Pg. 30, Column 4, Editorial Desk.	
	CC	Del Rosso, Laura, "Marketel says it plans to launch air fare 'auction' in June; Marketel International Inc.", Travel Weekly, April 29, 1991, Section: Vol. 50, No. 34, Pg. 1, ISSN: 0041-2082.	
	DD	"Philips offers customers financing through Citicorp; Philips Medical Systems North America, Citicorp North America Inc." Health Industry Today, June 1991, Section: Vol. 54, No. 6, Pg. 4, ISSN: 0745-4678.	
	EE	Pelline, Jeff, "Travelers Bidding On Airline Tickets SF firm offers chance for cut-rate fares" The San Francisco Chronicle, August 19, 1991, Sections: News at Pg. A4.	
	FF	Del Rosso, Laura, "Ticket-bidding firm closes its doors; Marketel International; Brief Article", Travel Weekly, March 12, 1992, Section: Vol. 51, No. 21, Pg. 1, ISSN: 0041-2082.	
	GG	"Coupons get serious; supermarkets use barcodes to prevent misredemptions", Chain Store Age Executive with Shopping Center Age, October 1992, Section: Vol. 68, No. 10, Pg. 68, ISSN: 0193-1199.	
	HH	"Winn-Dixie/The Salvation Army Report Contributions For War Against Hunger", PR Newswire, June 10, 1993, Section: Financial News.	
/C.A./	II	Jones, Jeanne, "Data Readers Streamline Management; Scanner Technology Aids Retailers As Well As Plants, Wholesalers", The Houston Post, June 26, 1994, Section: Business at Pg. D1.	
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/C.A./	JJ	Fiorini, Phillip, "No Place For Penny?"/Smallest coin doesn't make cents to some", USA Today, July 29, 1994, Section: News at Pg. 1A.
	KK	Smith, Alison, "Survey of UK Consumer Credit and Asset Finance", Financial Times, November 3, 1994, Section: Pg. VI.
	LL	Andreoli, Tom et al., "Cash Machines Offer A Whole Lotto Money For Withdrawl; An Unfortunate Juxtaposition; Block That Metaphor!; Something Street Talk; Fishy In Springfiled; State Street Sears?; Champion As Underdog; A 'Whole Language' Graduate", Crain's Chicago Business, June 19, 1995, Section: News at Pg. 8.
	MM	"Spain: BBV launches new card", Cards International, June 22, 1995 at Pg. 5.
	NN	Greene, Jan, "Farm bills please assns; National Grocers Association", Supermarket News, Vol. 35, Load-Date: June 30, 1995.
	OO	Knippenberg, Jim, "Psst! Will local radio empires strike back?", The Cincinnati Enquirer, July 23, 1995, Section: Tempo at Pg. F01.
	PP	Silverman, Gene, "Planning and using infomercial campaigns effectively", Direct Marketing, September 1995, Vol. 58, No. 5, pg. 32(3).
	QQ	Brochure, "Cyber Bid", Net Fun Ltd., Copyright 1996.
	RR	McKinney, Jeff, "Merchant program could pay off for Provident", The Cincinnati Enquirer, March 24, 1996, Section: Financial, pg. E02.
	SS	Raab, David M., "Package Aids Catalog Management", DM News, April 22, 1996, Section: Software Review, pg. 14.
↓	TT	Hadley, Kimberly, "Pastors praying anti-arson effort will burn bias", The Nashville Banner, July 26, 1996, Section: News at Pg. A13.
/C.A./	UU	Gapper, John, "NatWest reports rise in bad debt", Financial Times, July 31, 1996, Section: News: UK; at Pg. 09.

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/C.A.	VV	"LYNX TECHNOLOGY: Lynx to provide business leasing programme through Schroder Leasing", M2 Presswire, August 9, 1996.	
	WW	Taylor, Paul, "Towards a dream market", Financial Times (London), September 4, 1996, Section: Survey - FT IT; at Pg. 03.	
	XX	"Bigger Plans (Provident Bank, issuer of MeritValue customer loyalty card, plans to offer program in 25 cities in six months)", Card Fax, October 7, 1996, Vol. 96, No. 178, pg. 2.	
	YY	Fickenscher, Lisa, "Amex to Start Free Rewards Program with Discounts on Merchandise", The American Banker, October 18, 1996, Section: Credit/Debit/ATMs, pg. 10.	
	ZZ	Fitzgerald, Kate, "Amex Program Moves Loyalty to Next Level: Custom Extras Finds a Medium Customers Can't Ignore: Billing Statements", Advertising Age, November 4, 1996, Section: News, pg. 2.	
	AAA	Bonnici, Joseph et al., "Consumer issues in coupon usage: An exploratory analysis", Journal of Applied Business Research, Winter 1996/1997, Section: Vol. 13, No. 1, pp. 31-40, ISSN: 0892-7626, CODEN: JPBEBK.	
	BBB	Quittner, Jeremy, "Ohio's Provident brings its discount card to Fla.", American Banker, February 11, 1997, Vol. 162, Issue 28, pg. 11, 1/3p., ISSN: 0002-7561.	
	CCC	Symons, Allene, "Lucky, Sav-on debut Rewards Card.", Drug Store News, February 17, 1997, Vol. 19, Issue 4, p3, 2p, 1c, ISSN: 0191-7587.	
	DDD	Mckevey, Alexander, "Giving them a good reason.", Bank Marketing, March 1997, Vol. 29, Issue 3, p37, 4p, 4c, ISSN: 0888-3149.	
	EEE	Singletary, Michelle, "Electronic World, Unchecked Problem? U.S. Move to Paperless Payments Raises Worries About Those Who Don't Use Banks", The Washington Post, March 4, 1997, Section: Financial at Pg. C01.	
/C.A.	FFF	Fickenscher, Lisa, "Merchant: American Express Seeks to Mine Its Data on Cardholder Spending Patterns", The American Banker, March 24, 1997, Section: Credit/Debit/ATMs, pg. 20.	
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/C.A./	GGG	"Dispensing the Future", Electronic Payments International, May 1997, Section: Feature, pg. 12.	
	HHH	"Avco Financial Services", National Home Furnishing Association, (http://www.homefurnish.com/NHFA/avco.htm), download date: May 23, 1997.	
	III	Website, "Catalina Marketing Corporation", (http://catalinamktg.com/prodcdir.htm), download date: May 29, 1997.	
	JJJ	Riordan, Teresa, "Patents; A novel approach to making a better spermicide harks back to some old-fashioned methods", The New York Times, June 9, 1997, Section D, Pg. 2, Column 1, Business/Financial Desk.	
	KKK	"Industry Briefs", Card News, June 9, 1997, Section: Vol. 12, No. 11.	
	LLL	Naim, Geoff, "The key to your identity: Falling costs will allow fingerprint verification to be widely used, reports Geoff Naim", Financial Times (London), July 15, 1997, Section: Technology; at Pg. 12.	
	MMM	"The United Computer Exchange, How It All Works", (http://www.uce.com/howitworks.html), download date: July 23, 1997.	
	NNN	"Classified 2000: The Internet Classifieds", Classifieds2000, Inc., (http://www.classifieds2000.com/cgi-cls/Display.exe?C2K+aboutus), download date: August 6, 1997.	
	OOO	"General trading information and terms provided by tradingfloor.com", (http://www.tradingfloor.com/info.htm), download date: August 14, 1997.	
	PPP	"NASDAQ", Information Sheet, (http://home.oxford.com/corfin/corfl1.htm), download date: August 15, 1997.	
↓	QQQ	Hoeschen, Brad, "Brookfield Square hopes mall card strikes a chord", Business Journal-Milwaukee, September 12, 1997, Section: Vol. 14, No. 50, pg. 19.	
/C.A./	RRR	Website: "NCR 7452 Workstation -- Beyond Traditional POS", (http://www.ncr.com/product/retail/products/catalog/7452.shtm), download date: September 23, 1997.	
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